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PTO/SB/05 (03-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 15]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed Sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets 2 ]
- Oath or Declaration [ Total Pages 2 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application Information

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	<small>(Do not attach this code label here.)</small>			or <input checked="" type="checkbox"/> Correspondence address below
Name	Ms. Susan M. Donahue			
Address	Rockwell Automation, 704 P 1202 South Second Street			
City	Milwaukee	State	Wisconsin	Zip Code
Country	U.S.	Telephone	414.382.4463	Fax
Name (Print/Type)	Keith M. Baxter		Registration No. (Attorney/Agent)	31,233
Signature			Date	Feb. 27, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Civil Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 798.00)

## Complete if Known

Application Number	
Filing Date	February 27, 2002
First Named Inventor	Joseph Francis Mann
Examiner Name	
Group Art Unit	
Attorney Docket No.	01AB162

## METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other  None  
 Deposit Account

Deposit Account 17-0055  
 Number  
 Deposit Account Name Quarles & Brady LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (6)	Fee Code (6)	Fee (\$)	Fee Code (6)	Fee (\$)	Fee Description	Fee Paid
105 130		205 65			Surcharge - late filing fee or oath	
127 50	227	25	25	25	Surcharge - late provisional filing fee or cover sheet	
139 130	130				Non-English specification	
147 2,520	147 2,520				For filing a request for ex parte reexamination	
112 920*	112 920*				Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*				Requesting publication of SIR after Examiner action	
115 110	215	55			Extension for reply within first month	
116 400	216	200			Extension for reply within second month	
117 920	217	460			Extension for reply within third month	
118 1,440	218	720			Extension for reply within fourth month	
128 1,980	228	980			Extension for reply within fifth month	
119 320	219	160			Notice of Appeal	
120 320	220	160			Filing a brief in support of an appeal	
121 280	221	140			Request for oral hearing	
138 1,510	138 1,510				Petition to institute a public use proceeding	
140 110	240	55			Petition to revive - unavoidable	
141 1,280	241	640			Petition to revive - unintentional	
142 1,280	242	640			Utility issue fee (or reissue)	
143 460	243	230			Design issue fee	
144 620	244	310			Plant issue fee	
122 130	122	130			Petitions to the Commissioner	
123 50	123	50			Processing fee under 37 CFR 1.17(q)	
126 180	126	180			Submission of Information Disclosure Stmt	
581 40	581	40			Recording each patent assignment per property (times number of properties)	40.00
146 740	246	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279	370			Request for Continued Examination (RCE)	
169 000	169	900			Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40.00)

\*\*or number previously paid, if greater. For Reissues, see above

## SUBMITTED BY

Name (Print/Type) Keith M. Baxter

Registration No. 31,233

Complete if applicable

Telephone 414.277.5719

Signature

Date Feb. 27, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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